



客戶買賣台灣證券聲明
Declaration for Trading of Taiwanese Securities

致：興業金融證券有限公司 (興業金融證券)

To: RHB Securities Hong Kong Limited ("RSHK")

香港中環德輔道中 19 號環球大廈 12 樓

12/F., World-Wide House, 19 Des Voeux Road Central, Hong Kong

I/We, the undersigned client(s), hereby declare that:

本人/我們為下面簽署的客戶，現謹此聲明：

- 1) All funds remitted or to be remitted by me/us or the ultimate beneficiary(ies) of the securities account for Taiwanese securities investment via RSHK are not from Taiwan or Mainland China.

本人/我們透過興業金融證券投資於台灣有價值證券，而本人/我們或帳戶的最終受益人擬匯入台灣投資有價值證券之資金非來自台灣或中國大陸地區。

- 2) I/We or the ultimate beneficiary(ies) of the securities account (please tick where appropriate):

本人/我們或帳戶的最終受益人(請勾選適當選項)：

- am/are** an insider of the following Taiwanese listed company(ies) under the definition of current Taiwan laws and regulations. For example, as (1) director, (2) supervisor, (3) officer or (4) shareholder holding 10 percent or above of total outstanding shares of a Taiwanese listed company (including the shareholder, spouse, minor child(ren) and those held under the name of other parties).

是 根據現時台灣相關法例所定義之台灣上市公司內部人，例如擔任台灣上市公司之(1)董事、(2)監事人、(3)經理人或(4)持有台灣上市公司股份總額百分之十之股東(含本人、配偶、未成年子女及利用他人名義持有者)之股權管理。

Name of the Listed Company

上市公司名稱

:

Stock code

股份編號

:

Position or Identity in the Company

於上市公司擔任之職能或身份

:

- am not/are not** an insider of any Taiwanese listed company under the definition of current Taiwan laws and regulations. For example, as (1) director, (2) supervisor, (3) officer or (4) shareholder holding 10 percent or above of the total outstanding shares of a Taiwanese listed company (including the shareholder, spouse, minor child(ren) and those held under the name of other parties).

不是 根據現時台灣相關法例所定義之台灣上市公司內部人，例如擔任台灣上市公司之(1)董事、(2)監事人、(3)經理人或(4)持有台灣上市公司股份總額百分之十之股東(含本人、配偶、未成年子女及利用他人名義持有者)之股權管理。

- 3) Should there be any changes to the above after the signing of this declaration, I/we will inform RSHK immediately for amendment.

本人/我們的身份若在簽署本聲明後有任何更改，會即時通知興業金融證券作出更新。

客戶姓名：

Name(s) of Client

客戶簽署：

Signature(s) of Client:

S.V.

帳戶號碼：

Account No(s):

日期：

Date: